

# ORIENTAL CARBON & CHEMICALS LIMITED

[Corporate Identity Number (CIN) - L24297WB1978PLC031539]

Regd. Office : "Duncan House", 31, Netaji Subhas Road, Kolkata - 700 001

☎ : 091-033-2230-6831/6832, Fax No. : 091-033-22434772

E-mail : occl@cal2.vsnl.net.in, occlkol@gmail.com, Website : www.occlindia.com

4<sup>th</sup> January 2016

The Manager  
National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex  
Bandra (E)  
Mumbai -400 051

Dear Sir,

**Re: Shareholding Pattern for the quarter ended 31<sup>st</sup> December 2015**

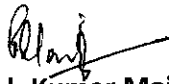
Pursuant to Clause 31 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR), enclosed please find herewith shareholding pattern for the quarter ended 31.12.2015 in the requisite format.

We hope you will find the same in order.

Thanking you,

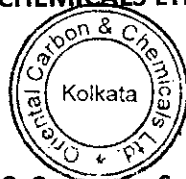
Yours faithfully,

**ORIENTAL CARBON & CHEMICALS LTD.**



**Pranab Kumar Maity**  
**Senior Manager Legal & Company Secretary**

Encl: a/a



<b>Shareholding Patter under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015</b>	
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<b>1</b>	<b>Name of Listed Entity: Oriental Carbon &amp; Chemicals Limited</b>
<b>2</b>	<b>Scrip Code/Name of Scrip/Class of Security: BSE-506579, CSE-25065/ORIENTAL CARBON &amp; CHEMICALS LTD./EQUITY SHARE</b>
<b>3</b>	<b>Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)</b>
	<b>a. If under 31(1)(b) then indicate the report for Quarter ending : 31st December, 2015</b>
	<b>b. If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable</b>
<b>4</b>	<b>Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-</b>

	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
<b>1</b>	<b>Whether the Listed Entity has issued any partly paid up shares?</b>		<b>No</b>
<b>2</b>	<b>Whether the Listed Entity has issued any Convertible Securities or Warrants?</b>		<b>No</b>
<b>3</b>	<b>Whether the Listed Entity has any shares against which depository receipts are issued?</b>		<b>No</b>
<b>4</b>	<b>Whether the Listed Entity has any shares in locked-in?</b>		<b>No</b>
<b>5</b>	<b>Whether any shares held by promoters are pledge or otherwise encumbered?</b>		<b>No</b>

**\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.**

**GENERATED ON :02/01/2016**

**NSDL : 31/12/2015**

**CDSL :31/12/2015**

## Oriental Carbon & Chemicals Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	8	5255243	0	0	5255243	51.0413	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	5255243
(B)	Public	13592	5040819	0	0	5040819	48.9602	0	0	0	0.0000	0	0.0000	0	0.0000	119891	2.3784	4511940
(C)	Non Promoter - Non Public																	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Total</b>	<b>13600</b>	<b>10296062</b>	<b>0</b>	<b>0</b>	<b>10296062</b>	<b>100.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>119891</b>	<b>1.1644</b>	<b>9767183</b>

GENERATED ON :02/01/2016

NSDL : 31/12/2015

CDSL :31/12/2015

**Oriental Carbon & Chemicals Limited**
**Table II (I)(a)- Statement showing shareholding pattern of the Promoter and Promoter Group**

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Indian</b>																		
(a)	Individuals / Hindu Undivided Family		3	532068	0	0	532068	5.1677	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	532068
	Aparna Goenka	ADCPG6068M	1	275816	0	0	275816	2.6788	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	275816
	Arvind Goenka	ADDPG4464E	1	156252	0	0	156252	1.5176	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	156252
	Akshat Goenka	AJLPG3347E	1	100000	0	0	100000	0.9712	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	100000
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		5	4723175	0	0	4723175	45.8736	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	4723175
	Bodies Corporate		5	4723175	0	0	4723175	45.8736	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	4723175
	Cosmopolitan Investments Ltd	AAACC0471H	1	1904528	0	0	1904528	18.4976	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	1904528
	New India Investment Corporation Ltd	AAACN8998F	1	1202136	0	0	1202136	11.6757	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	1202136
	Duncan International (India) Ltd	AABCD1222D	1	994616	0	0	994616	9.6602	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	994616
	Haldia Investment Co Ltd	AAACH6632E	1	591895	0	0	591895	5.7488	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	591895
	Disciplined Investments Limited	AAACD9106D	1	30000	0	0	30000	0.2914	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	30000
	<b>Sub Total (A)(1)</b>		<b>8</b>	<b>5255243</b>	<b>0</b>	<b>0</b>	<b>5255243</b>	<b>51.0413</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>5255243</b>
<b>2</b>	<b>Foreign</b>																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Sub Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>
	<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>8</b>	<b>5255243</b>	<b>0</b>	<b>0</b>	<b>5255243</b>	<b>51.0413</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>5255243</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :02/01/2016

NSDL : 31/12/2015

CDSL : 31/12/2015

**Oriental Carbon & Chemicals Limited**
**Table III - Statement showing shareholding pattern of the Public shareholder**

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held <sup>S</sup>	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Institutions</b>																		
(a)	<b>Mutual Fund</b>		8	659511	0	0	659511	6.4055	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	658061
	Hdfc Small & Midcap Fund	AAATH1809A		275000	0	0	275000	2.6709	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	275000
	L And T Mutual Fund Trustee Ltd- L And T India Prudence Fund	AAATC4460E		158152	0	0	158152	1.5360	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	158152
(b)	<b>Venture Capital Funds</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	<b>Alternate Investment Funds</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	<b>Foreign Venture Capital Investors</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	<b>Foreign Portfolio Investor</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(f)	<b>Financial Institutions / Banks</b>		4	1680	0	0	1680	0.0163	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(g)	<b>Insurance Companies</b>		3	665958	0	0	665958	6.4681	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	665908
	Life Insurance Corporation Of India	AAACL0582H		368668	0	0	368668	3.5807	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	368668
	General Insurance Corporation Of India	AAACG0615N		297240	0	0	297240	2.8869	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	297240
(h)	<b>Provident Funds/ Pension Funds</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(i)	<b>Any Other (Specify)</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Sub Total (B)(1)</b>		15	1327149	0	0	1327149	12.8899	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	1323969
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	<b>Sub Total (B)(2)</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>3</b>	<b>Non-Institutions</b>																		
(a)	<b>Individuals</b>			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.</b>		12928	2263978	0	0	2263978	21.9902	0	0	0	0.0000	0	0.0000	0	0.0000	38845	1.7158	1773765
	<b>ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>		9	554008	0	0	554008	5.3808	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	554008
	Anil Kumar Goel	AAJPG2552Q		158000	0	0	158000	1.5346	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	158000
	Sangeetha S	AIJPS3739F		117340	0	0	117340	1.1397	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	117340
(b)	<b>NBFCs registered with RBI</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	<b>Employee Trusts</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	<b>Overseas Depositories(holding DRs) (balancing figure)</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0

(e)	Any Other (Specify)		640	895684	0	0	895684	8.6993	0	0	0	0.0000	0	0.0000	0	0.0000	81046	9.0485	860198
	Trusts		1	100	0	0	100	0.0010	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	100
	Hindu Undivided Family		160	166135	0	0	166135	1.6136	0	0	0	0.0000	0	0.0000	0	0.0000	72800	43.8198	166135
	Non Resident Indians (Non Repat)		44	41363	0	0	41363	0.4017	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	41363
	Non Resident Indians (Repat)		203	89140	0	0	89140	0.8658	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	55681
	Clearing Member		49	18700	0	0	18700	0.1816	0	0	0	0.0000	0	0.0000	0	0.0000	4802	25.6791	18700
	Market Maker		9	4904	0	0	4904	0.0476	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	4904
	Bodies Corporate		174	575342	0	0	575342	5.5880	0	0	0	0.0000	0	0.0000	0	0.0000	3444	0.5986	573315
	Winnow Investments And Securities Private Limited	AABCW6346D		256404	0	0	256404	2.4903	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	256404
	<b>Sub Total (B)(3)</b>		<b>13577</b>	<b>3713670</b>	<b>0</b>	<b>0</b>	<b>3713670</b>	<b>36.0703</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>119891</b>	<b>3.2284</b>	<b>3187971</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>		<b>13592</b>	<b>5040819</b>	<b>0</b>	<b>0</b>	<b>5040819</b>	<b>48.9602</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>119891</b>	<b>2.3784</b>	<b>4511940</b>

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :02/01/2016

NSDL : 31/12/2015

CDSL :31/12/2015

**Oriental Carbon & Chemicals Limited**
**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares heldS	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (as a % of	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of	(xii)		(xiii)		(xiv)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

GENERATED ON :02/01/2016

NSDL : 31/12/2015

CDSL :31/12/2015