

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Oriental Carbon & Chemicals Limited** maintained by the Company and **Link Intime India Private Limited**, the Company's Registrar and Share Transfer Agents (RTA), for the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and its RTA, we hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2019 :

- A) Share Certificate(s) relating to the transfer/transmission of shares received during the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** as entered in the Memorandum of Transfers, have been issued within 30 (thirty) days of the date of lodgment for transfer/transmission, except those delayed/rejected on technical grounds.
- B) Share Certificate(s) relating to request for issue of replacement/name deletion received during the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** have been issued within 30 (thirty) days of the date of lodgment complete documents thereof.

As informed to us, the Company has not received any request for consolidation/sub-division/rematerialisation etc. of share certificates during the half year ended **31<sup>st</sup> March, 2019**.

**For P. SARAWAGI & ASSOCIATES**  
**Company Secretaries**





**( P.K. Sarawagi )**

**Proprietor**

Membership No. FCS 3381

Certificate of Practice No. : 4882

ICSI UDIN : F003381A000146689

Place : Kolkata

Date : 22<sup>nd</sup> October, 2019